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PTO/SB/01 (8-96)

Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☒ Declaration OR
Submitted
with Initial Filing

☐ Declaration
Submitted after
Initial Filing

Attorney Docket Number	IVERSON
First Named Inventor	IVERSON, THOMAS JR.
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method for Treating Produce and Process Water

(Title of the invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) 02/17/95

as United States Application Number or PCT International

Application Number PCT/US95/02128 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

[Page 1 of 5]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

(January 1997)

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Annex US.III. page 2

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DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §366(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 when became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Delbert J. Barnard	20,515		
Joan H. Pauly	30,207		
Glenn D. Bellamy	32,887		
Kathleen T. Petrich	37,205		

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	Delbert J. Barnard
Address	BARNARD, PAULY & BELLAMY, P.S.
Address	P.O. Box 58888
City	Seattle
State	WA
ZIP	98139-1888
Country	USA
Telephone	(206) 246-0568
Fax	(206) 243-4618

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like as made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name	IVERSON	Middle Initial	1-00	Family Name	THOMAS	Suffix e.g. Jr.	JR.
Inventor's Signature				Date	8/11		
Residence: City	Yakima	State	WA	Country	USA	Citizenship	USA
Post Office Address	824 Carriage Hill Drive						
City	Yakima	State	WA	Zip	98908	Country	USA

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

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Annex US.III, page 3

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name	PRINDLE	Middle Initial	Family Name JOYCE
Inventor's Signature	Joyce Prindle		Date 8-11-97
Residence: City	Olympia WA	State WA	Country USA
Post Office Address	5142 Heights Lane N.E.		
City	Olympia	State WA	Zip 98506
Country	USA		
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name	KETH	Middle Initial E.	Family Name ROBERT
Inventor's Signature	Robert E Keth		Date 8-11-97
Residence: City	Puyallup WA	State WA	Country USA
Post Office Address	11507 87th Ave. Court East		
City	Puyallup	State WA	Zip 98373
Country	USA		
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Middle Initial	Family Name
Inventor's Signature			Date
Residence: City		State	Country
Post Office Address			
City		State	Zip
Country			
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Middle Initial	Family Name
Inventor's Signature			Date
Residence: City		State	Country
Post Office Address			
City		State	Zip
Country			

☐ Additional Inventors are being named on supplemental sheet(s) attached hereto

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Annex US.V, page 5

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VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(e))—SMALL BUSINESS CONCERN		Docket Number (Optional) IVERSON
Applicant or Patentee: <u>IVERSON, THOMAS JR.</u> Application or Patent No.: <u>National Stage of PCT/US95/02128</u> Filed or Issued: <u>February 17, 1995</u> Title: <u>Method for Treating Production and Process Water</u>		
I hereby declare that I am <input type="checkbox"/> the owner of the small business concern identified below: <input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below:		
NAME OF SMALL BUSINESS CONCERN <u>CH₂O Incorporated</u>		
ADDRESS OF SMALL BUSINESS CONCERN <u>8820 Old Highway 99 S.E.</u> <u>Olympia, WA 98501 USA</u>		
<p>I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.</p>		
<p>I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:</p>		
<input type="checkbox"/> the specification filed herewith with title as listed above. <input checked="" type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above.		
<p>If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements avowing to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).</p>		
<input checked="" type="checkbox"/> Each person, concern, or organization having any rights in the invention is listed below: <input type="checkbox"/> NO SUCH PERSON, CONCERN, OR ORGANIZATION EXISTS. <input type="checkbox"/> EACH SUCH PERSON, CONCERN, OR ORGANIZATION IS LISTED BELOW.		
<p>Separate verified statements are required from each named person, concern or organization having rights to the invention avowing to their status as small entities. (37 CFR 1.27)</p>		
<p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))</p>		
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.</p>		
NAME OF PERSON SIGNING <u>Carl Iverson</u>		
TITLE OF PERSON IF OTHER THAN OWNER <u>President</u>		
ADDRESS OF PERSON SIGNING <u>8820 Old Highway 99 S.E., Olympia, WA 98501 USA</u>		
SIGNATURE <u>[Signature]</u>		DATE <u>8-14-97</u>

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(January 1997)

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Annex US.V, page 4

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VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.8(f) & 1.27(b))--INDEPENDENT INVENTOR

Docket Number (Optional)

IVERSON

Applicant or Patentee: IVERSON, THOMAS JR.Application or Patent No.: National Stage of PCT/US95/02128Filed or issued: February 17, 1995Title: Method for Treating Produce and Process Water

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.8(e) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☐ the specification filed herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.8(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.8(d) or a nonprofit organization under 37 CFR 1.8(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.
- ☒ Each such person, concern, or organization is listed below.

CH2O Incorporated
 8820 Old Highway 99 S.E.
 Olympia, WA 98501 USA

Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss or entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

THOMAS IVERSON, JR.
 NAME OF INVENTOR

Signature of Inventor

Date

JOYCE PRINDLE
 NAME OF INVENTOR

Signature of Inventor

Date

ROBERT E. KEITH
 NAME OF INVENTOR

Signature of Inventor

Date

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